HOW TO THINK OF QUANTUM MARKOV MODELS FROM AN ENGINEERING PERSPECTIVE

John Gough

(Aberystwyth)

"Mathematics of QIT" May 6-10 Lorentz Center

### Input-Plant-Output Models

• Plant = System (state variable *x*)

$$\dot{x} = A x + B u y = C x + D u$$

• Laplace domain

Y(s) = T(s) U(s)

• Transfer Function

$$T(s) = \begin{bmatrix} A & B \\ \hline C & D \end{bmatrix} (s) = D + C \frac{1}{A - s} B.$$



# **Block Diagrams**

• Series

 $T(s) = T_2(s) T_1(s).$ 



• Feedback

$$u_i = K y_i$$



## **Fractional Linear Transformations**

#### • "Open Loop"

$$\dot{x} = Ax + B_e u_e + B_i u_i$$

$$y_e = C_e x + D_{ee} u_e + D_{ei} u_i$$

$$y_i = C_i x + D_{ie} u_e + D_{ii} u_i.$$



• "Closed Loop"

$$\dot{x} = \hat{A}x + \hat{B}_e u_e$$
$$y_e = \hat{C}_e x + \hat{D}_{ee} u_e.$$



#### **Fractional Linear Transformation**

• The feedback reduction





٠

$$\mapsto \begin{bmatrix} \hat{A} & \hat{B}_e \\ \hat{C}_e & \hat{D}_{ee} \end{bmatrix} = \begin{bmatrix} A & B_e \\ C_e & D_{ee} \end{bmatrix} + \begin{bmatrix} B_i \\ D_{ei} \end{bmatrix} (1 - K D_{ii})^{-1} K \begin{bmatrix} C_i & D_{ie} \end{bmatrix}$$

• Algebraic loops if  $D_{ii} \neq 0$ 

# Double Pass!

• Special case of feedback reduction





$$\mapsto \begin{bmatrix} A & B_1 \\ C_2 & 0 \end{bmatrix} + \begin{bmatrix} B_2 \\ D_2 \end{bmatrix} \begin{bmatrix} C_1 & D_1 \end{bmatrix} = \begin{bmatrix} A + B_2 C_1 & B_1 + B_2 D_1 \\ C_2 + D_2 C_1 & D_2 D_1 \end{bmatrix}$$
$$(A \equiv A_1 + A_2)$$
$$=: \begin{bmatrix} A_2 & B_2 \\ C_2 & D_2 \end{bmatrix} \triangleleft \begin{bmatrix} A_1 & B_1 \\ C_1 & D_1 \end{bmatrix}.$$

# Networks and Feedback Control





cannot happen in the quantum setting!!!

must use unitary junctions (e.g., beamsplitters)

 Measurement Based Feedback Control



 Coherent Feedback Control



#### **Quantum Inputs and Outputs**



Lamb Model / Caldeira-Leggett / Ford-Kac-Mazur / Thirring-Schwabl /Lewis-Maassen/ Yurke-Denker

Terminal charge q(t) = Q(z = 0, t) $L\ddot{q}(t) + R\dot{q}(t) + \frac{1}{C}q(t) = F(t)$ , with  $R = \frac{1}{\kappa c}$  and  $F(t) = 2R\dot{q}_{in}(t)$   $[F(t), F(s)] = \frac{1}{C}$ 

$$[F(t), F(s)] = i\frac{d}{dt}\delta(t-s)$$

#### Non-Markov Models and Markov Limits

$$L\ddot{q}(t) + \int_{-\infty}^{t} \Gamma(t - t')\dot{q}(t') + \frac{1}{C}q(t) = F(t)$$

Input-output relations

 $S[s] = \frac{Ls^2 - s\mathscr{L}\Gamma[s] + \frac{1}{C}}{Ls^2 + s\mathscr{L}\Gamma[s] + \frac{1}{C}}$ 

 $\hat{q}_{\rm out}(\omega) = S[0^+ - i\omega]\,\hat{q}_{\rm in}(\omega)$ 

 $\mathscr{L}\Gamma[s]$  - Linear Positive Real,

 $\boldsymbol{S}[\boldsymbol{s}]$  - Linear Bounded Real

**Spectral Density** 

$$J(\omega) = \frac{1}{\omega} \operatorname{Re}\mathscr{L}\Gamma[0^+ - i\omega].$$



Gardiner-Collett

## **Quantum Markovian Dynamics**

- A semi-group of CP identity-preserving maps (Heisenberg picture!)
- Generator (Lindblad)  $\Phi_t \equiv e^{t\mathscr{L}},$

Dilation

$$\mathscr{L}X \equiv \mathscr{L}_{\mathbf{L},H}X = \frac{1}{2}\sum_{k=1}^{d} [L_k^*, X]L_k + \frac{1}{2}\sum_{k=1}^{d} L_k^*[X, L_k] - i[X, H].$$

$$\Phi_t(X) = \operatorname{tr}_{\mathfrak{F}}\left\{ \mathbf{1} \otimes |\Omega\rangle \langle \Omega| \ U(t)^* [X \otimes \mathbf{1}] U(t) \right\}$$

auxiliary space  $\mathfrak{F}$ , vector state  $|\Omega\rangle \in \mathfrak{F}$ , unitary U(t) on  $\mathfrak{h}_{\mathrm{sys}} \otimes \mathfrak{F}$ 

## **Quantum Input-Output Systems**



Gardiner-Collett (1985)



#### **Quantum Input Processes**

The "wires" are quantum fields!



• Field quanta of type k annihilated at the system at time t:  $b_{\mathrm{in},k}(t)$ 

$$[b_{\mathrm{in},j}(t), b_{\mathrm{in},k}(s)^*] = \delta_{jk} \,\delta(t-s).$$

- Hilbert Space:  $\mathfrak{F} = \bigoplus_{n=0}^{\infty} \left( \otimes_{\text{symm.}}^{n} \mathfrak{h}_{1P} \right), \qquad \mathfrak{h}_{1P} = \oplus_k L^2[0,\infty).$
- Default state is the (Fock) vacuum  $|\Omega
  angle$

$$b_{\mathrm{in},k}(t) \left| \Omega \right\rangle \equiv 0.$$

#### **Quantum Stochastic Models**

Single input – Emission/Absorption Interaction

$$\dot{U}(t) = \left\{ \mathbf{L} \otimes b^*(t) - \mathbf{L}^* \otimes b(t) - i\mathbf{H} \otimes I \right\} U(t)$$

• Wick-ordered form:

$$\dot{U}(t) = \mathbf{L} \otimes b^*(t)U(t) - \mathbf{L}^* \otimes U(t)b(t) - (\frac{1}{2}\mathbf{L}^*\mathbf{L} + i\mathbf{H}) \otimes I U(t)$$

• Heisenberg Picture  $j_t(X) = U(t)^*(X \otimes I)U(t)$ 

$$\frac{d}{dt}j_t(X) = b^*(t)j_t([L,X]) + j_t([X,L^*])b(t) + j_t(\mathscr{L}X)$$

GKS-Lindblad Generator

$$\mathscr{L}X = \frac{1}{2}L^*[X, L] + \frac{1}{2}[L^*, X]L - i[X, H]$$

• Input-Output Relations

 $b_{\mathrm{out}}(t) = \mathbf{I} \otimes b(t) + j_t(\mathbf{L})$ 

### **Quantum Stochastic Models**

• Two inputs – pure scattering



Heisenberg Picture

$$\frac{d}{dt}j_t(X) = \sum_{j,k} b_j^*(t)j_t \left(\sum_l S_{lk}^* X S_{lk} - \delta_{jk} X\right) b_k(t)$$

Input-Output Relations

 $b_{\text{out},j}(t) = \sum_{k} j_t(\mathbf{S}_{jk}) b_k(t)$ 

#### Quantum Ito Table

$$B_k(t) \equiv \int_0^t b_k(s) ds \qquad \qquad \Lambda_{jk}(t) \equiv \int_0^t b_j(s)^* b_k(s) ds$$

• Table

$$dB_j dB_k^* = \delta_{jk} dt$$

$$d\Lambda_{jl}dB_k^* = \delta_{lk}dB_j^*$$
$$dB_jd\Lambda_{kl} = \delta_{jk}dB_l$$
$$d\Lambda_{jl}d\Lambda_{ki} = \delta_{lk}d\Lambda_{ji}$$

• Product Rule

d(XY) = dX(t) Y(t) + X(t) dY(t) + dX(t) dY(t).

# SLH Formalism

• Hamiltonian H

 $H^* = H$ 

• Coupling/Collapse Operators L

$$L = \left[ \begin{array}{c} L_1 \\ \vdots \\ L_n \end{array} \right]$$

• Scattering Operator S

$$S = \begin{bmatrix} S_{11} & \cdots & S_{1n} \\ \vdots & \ddots & \vdots \\ S_{n1} & \cdots & S_{nn} \end{bmatrix}, \qquad S^{-1} = S^*$$

#### **Quantum Stochastic Models**

#### • General (*S*,*L*, *H*) case

Wick-ordered form:  

$$\dot{U}(t) = b_j^*(t)(S_{jk} - \delta_{jk}I)U(t)b_k(t) + L_j \otimes b_j^*(t)U(t)$$

$$-L_j^*S_{jk} \otimes U(t)b_k(t) - (\frac{1}{2}L_k^*L_k + iH) \otimes IU(t)$$

Or better as a QSDE (quantum Ito stochastic calculus)

$$dU(t) = \left\{ \left( S_{jk} - \delta_{jk} I \right) d\Lambda_{jk}(t) + L_j \otimes dB_j^*(t) - L_j^* S_{jk} \otimes dB_k(t) - \left( \frac{1}{2} L_k^* L_k + iH \right) \otimes dt \right\} U(t)$$

## **Quantum Stochastic Models**

**Heisenberg Picture** 

$$j_t(\mathbf{X}) = U(t)^*(\mathbf{X} \otimes I)U(t)$$

$$dj_{t}(X) = j_{t}(S_{lj}^{*}XS_{lk} - \delta_{jk}X)d\Lambda_{jk}(t) + j_{t}(S_{lj}^{*}[L_{l}, X])dB_{in,j}^{*}(t) + j_{t}([X, L_{l}^{*}]S_{lk})dB_{in,k(t)} + j_{t}(\mathscr{L}X)dt.$$

Lindblad Generator

$$\mathscr{L}X = \frac{1}{2}L_k^*[X, L_k] + \frac{1}{2}[L_k^*, X]L_k - i[X, H]$$

Input-Output Relations

$$B_{\text{out},k}(t) = U(t)^* (\mathbf{I} \otimes B_{\text{in},k}(t)) U(t)$$

$$dB_{\text{out},j}(t) = j_t(S_{jk})dB_{\text{in},k}(t) + j_t(L_j)dt$$

#### This is Markovian!

"Pyramidal" Multi-time Expectations  $(t_n > \cdots > t_1)$ 

$$tr\left\{\rho_0 \otimes |\Omega\rangle \langle \Omega| \ j_{t_1}(A_1^{\dagger}) \cdots j_{t_n}(A_n^{\dagger}) j_{t_n}(B_n) \cdots j_{t_1}(B_1)\right\}$$
$$= tr\left\{\rho_0 \Phi_{t_1}\left(A_1^{\dagger} \Phi_{t_2-t_1}\left(A_2^{\dagger} \cdots \Phi_{t_n-t_{n-1}}\left(A_n^{\dagger} B_n\right) \cdots\right) B_1\right)\right\}.$$

#### In non-Markovian models there is no "state" in the usual sense!

## **Quantum Networks**

• How to connect models?





• Algebraic loops



Feedback Control



#### **The Series Product**



The cascaded system in the **instantaneous feedforward** limit is equivalent to the single component

 $(S_2, L_2, H_2) \lhd (S_1, L_1, H_1) = \left(S_2 S_1, L_2 + S_2 L_1, H_1 + H_2 + \operatorname{Im}\left\{L_2^{\dagger} S_2 L_1\right\}\right).$ 

J. G., M.R. James, *The Series Product and Its Application to Quantum Feedforward and Feedback Networks* IEEE Transactions on Automatic Control, 2009.

### Perturbations (Avron, Fraas, Graf)

Virtual displacement of the model

 $(S, L, H) \lhd (I, \delta L, \delta H)$ 

#### Displacements

$$X \to e^{iG\delta\phi} X e^{-iG\delta\phi} \qquad \qquad \delta X = i[X,G]\,\delta\phi.$$

#### Virtual work

$$\delta H + \frac{1}{2i} \left( L^* \,\delta L - (\delta L)^* L \right) = \left( -i[G,H] + \frac{1}{2} L^*[L,G] + \frac{1}{2} [L^*,G]L \right) \delta \phi$$
$$= \mathcal{L}_{L,H}(G) \,\delta \phi.$$

## Local Asymptotic Normality

• Suppose that the QMS has a unique faithful stationary state

Time-averages:  $\mathbb{M}_T(X) = \frac{1}{T} \int_0^T j_t(X) dt \to \langle X \rangle I.$ 

• (CCR) Algebra of fluctuations (Guta and Kiukas, Bouten)

Fluctuations: 
$$\mathbb{F}_T(X) = \frac{1}{\sqrt{T}} \int_0^T (j_t(X) - \langle X \rangle) dt.$$

- Geometric structure closely related to the Series Product!
- General perturbations (Bouten and JG)
- PROBLEM: is this some form of de Bruijn identity?

# Network Rule # 1 Open loop systems in parallel



Models  $(S_j, L_j, H_j)_{j=1}^n$  in parallel

$$\left( \begin{bmatrix} S_1 & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & S_n \end{bmatrix}, \begin{bmatrix} L_1 \\ \vdots \\ L_n \end{bmatrix}, H_1 + \dots + H_n \right).$$

# Network Rule # 2 Feedback Reduction Formula



The reduced model obtained by eliminating all the internal channels (instantaneous feedback) is determined by the operators  $(S^{fb}, L^{fb}, H^{fb})$  given by

$$\begin{split} \mathsf{S}^{\mathrm{fb}} &= \mathsf{S}_{\mathrm{ee}} + \mathsf{S}_{\mathrm{ei}} X \left( 1 - \mathsf{S}_{\mathrm{ii}} X \right)^{-1} \mathsf{S}_{\mathrm{ie}}, \\ \mathsf{L}^{\mathrm{fb}} &= \mathsf{L}_{\mathrm{e}} + \mathsf{S}_{\mathrm{ei}} X \left( 1 - \mathsf{S}_{\mathrm{ii}} X \right)^{-1} \mathsf{L}_{\mathrm{i}}, \\ \mathsf{H}^{\mathrm{fb}} &= \mathsf{H} + \sum_{i=\mathrm{i},\mathrm{e}} \mathrm{Im} \mathsf{L}_{j}^{\dagger} X \mathsf{S}_{j\mathrm{i}} \left( 1 - \mathsf{S}_{\mathrm{ii}} X \right)^{-1} \mathsf{L}_{\mathrm{i}}. \end{split}$$

J. G., M.R. James, *Quantum Feedback Networks: Hamiltonian Formulation*, Commun. Math. Phys., 1109-1132, Volume 287, Number 3 / May, 2009.

$$\mathbf{V} = \begin{bmatrix} -\frac{1}{2}L^{*}L - iH & -L^{*}S \\ L & S \end{bmatrix} = \begin{bmatrix} -\frac{1}{2}\sum_{j}L_{j}^{*}L_{j} - iH & -\sum_{j}L_{j}^{*}S_{j1} & \cdots & -\sum_{j}L_{j}^{*}S_{jm} \\ L_{1} & S_{11} & \cdots & S_{1n} \\ \vdots & \vdots & \ddots & \vdots \\ L_{n} & S_{n1} & \cdots & S_{nn} \end{bmatrix}$$
$$= \begin{bmatrix} \mathbf{V}_{00} & \mathbf{V}_{01} & \cdots & \mathbf{V}_{0m} \\ \mathbf{V}_{10} & \mathbf{V}_{11} & \cdots & \mathbf{V}_{1n} \\ \vdots & \vdots & \ddots & \vdots \\ \mathbf{V}_{n0} & \mathbf{V}_{n1} & \cdots & \mathbf{V}_{nn} \end{bmatrix} .$$



The feedback reduction formula is

$$\left[\mathscr{F}_{(r,s)}(\mathsf{V},T)\right]_{\alpha\beta} = \mathsf{V}_{\alpha\beta} - \mathsf{V}_{\alpha r}T\left(1 - \mathsf{V}_{rs}T\right)^{-1}\mathsf{V}_{s\beta}$$

# The Network Rules are implemented in a workflow capture package QHDL





QHDL (MabuchiLab) N. Tezak, et al., (2012) Phil. Trans. Roy. Soc. A, 370, 5270.

Classical Transfer function

$$\begin{bmatrix} A & B \\ \hline C & D \end{bmatrix} (s) = D + C (sI - A)^{-1} B,$$

• SLH version For a fixed set of coupling parameters (S, L, H) we define the corresponding transfer operator

$$\mathcal{T}(s) = \left[ \frac{-\frac{1}{2}L^{\dagger}L - iH \parallel -L^{*}S}{L \parallel S} \right](s)$$
$$= S - L(sI + \frac{1}{2}L^{*}L + iH)^{-1}L^{\dagger}S$$

• Properties

The transfer operator  $\mathcal{T}(s)$  is well-defined for  $\operatorname{Re} s > 0$ . For all  $\omega \in \mathbb{R}$ , such that  $i\omega$  is not a "pole", we have  $\mathcal{T}(i\omega)$  well-defined and unitary:

 $\mathcal{T}(i\omega)^{\dagger}\mathcal{T}(i\omega) = \mathcal{T}(i\omega)\mathcal{T}(i\omega)^{\dagger} = I.$ 

# Adiabatic Elimination

- An important model simplification split the systems into slow and fast subspaces
- Mathematical this is also a fractional linear transformation



- It commutes with feedback reduction!
- JG, H. Nurdin, S. Wildfeuer, *J. Math. Phys.*, 51, 123518 (2010); H. Nurdin, JG, *Phil. Trans. R. Soc.*, A 370, 5422-36 (2012)

# Measurement Y(t)

• Homodyne  $Z(t) = B_{\mathrm{in},k}(t) + B_{\mathrm{in},k}(t)^*$ 

 $Y(t) = U(t)^* (\mathbf{I} \otimes Z(t)) U(t)$ 

• Compatibility  $[Y(s), Y(t)] = 0, \quad \forall t, s \ge 0.$ 

 $[j_t(X), Y(s)] = 0, \qquad t \ge s \ge 0.$ 

• Filter

Conditioned state 
$$d\hat{\rho}_t = \mathscr{L}^{\star}\hat{\rho}_t dt + \left(L\hat{\rho}_t + \hat{\rho}_t L^* - \operatorname{tr}\{\hat{\rho}_t(L+L^*)\}\right) dI_t,$$

Innovations  $dI_t = dY_t - \operatorname{tr}\{\hat{\rho}_t(L+L^*)\} dt.$ 

### **Coherent Quantum Feedback Control**



H. Mabuchi, Coherent-Feedback Quantum Control With a Dynamic Compensator, Phys. Rev. A 78, 032323 (2008).

#### **Autonomous Quantum Error Correction**

(Q3)

 $|\beta\rangle$ 



J. Kerckhoff, H. I. Nurdin, D. Pavlichin and H. Mabuchi, *Designing quantum memories with embedded control: photonic circuits for autonomous quantum error correction*, Phys. Rev. Lett. 105, 040502 (2010)

# Thank You For Your Attention,

